

**Report to
Rapport au :**

**Council
Conseil**

12 September 2018 / le 12 septembre 2018

**Submitted on September 7, 2018
Soumis le 7 septembre 2018**

**Submitted by
Soumis par :**

**Sam Berrada, Light Rail Regulatory Monitor and Compliance Officer /
Agent de vérification de la conformité réglementaire du train léger**

**Contact Person
Personne ressource :**

**Sam Berrada, Light Rail Regulatory Monitor and Compliance Officer /
Agent de vérification de la conformité réglementaire du train léger
514-928-9799; *sabvanguard@gmail.com***

Ward: CITY WIDE / À L'ÉCHELLE DE LA VILLE File Number: ACS2018-CCS-OCC-0017

SUBJECT: Light Rail Regulatory Monitor and Compliance Officer Work Plan

**OBJET: Agent de vérification de la conformité réglementaire du train léger -
Plan de travail**

REPORT RECOMMENDATION

That Council approve the Light Rail Regulatory Monitor and Compliance Officer Work Plan, attached as Document 1.

RECOMMANDATION DU RAPPORT

Que le Conseil approuve le Plan de travail de l'Agent de vérification de la conformité réglementaire du train léger, ci-joint comme le Document 1.

BACKGROUND

On July 14, 2011, Council approved the implementation plan for the Ottawa Light Rail Transit (LRT) project (ACS2011-ICS-RIO-0002). At that time, the City of Ottawa and Transport Canada had been working together on a unique arrangement to permit the City to regulate its light rail system; and, as a result of those discussions, Council also authorized the City to finalize a regulatory agreement with the Federal Government.

The *Transport Canada Delegation Agreement*, made effective on October 1, 2011, included provisions for the development, adoption, monitoring and enforcement of City rail transit regulations pursuant to a delegated regulation model which includes the City's authority to develop, implement, monitor and enforce a comprehensive regulatory framework for the safety and security of the LRT, including the adoption of procedures that ensure that compliance with the regulations are monitored and reported on by an independent internal auditor or other responsible City official. The position of independent internal auditor was subsequently renamed the "Light Rail Regulatory Monitor and Compliance Officer" (RMCO), whose duties include monitoring and reporting on compliance with the LRT regulations.

On 23 September 2015, Council approved a report (ACS2015-CMR-OCM-0018) regarding the Governance and reporting requirements for the RMCO. This report provided that RMCO would submit a multi-year Compliance Officer's initial work plan to Council for approval three months prior to revenue service.

At its meeting of February 28, 2018, City Council approved Motion No. 65/6 appointing Sam Berrada (SAB Vanguard Consulting Inc.) as the RMCO.

DISCUSSION

The RMCO's initial work plan is presented for Council's consideration and approval, as set out in Document 1. Subsequently, each Compliance Officer Annual Compliance Report will contain, for Council approval, a revised multi-year work plan.

RURAL IMPLICATIONS

There are no rural implications associated with this report.

CONSULTATION

Not applicable.

COMMENTS BY THE WARD COUNCILLOR(S)

This is a City-wide matter.

LEGAL IMPLICATIONS

There are no legal implications associated with this report.

RISK MANAGEMENT IMPLICATIONS

Risk implications of the Light Rail Regulatory Framework have been identified and explained in previous reports, and are being managed by appropriate staff.

FINANCIAL IMPLICATIONS

There are no financial implications associated with this report.

ACCESSIBILITY IMPACTS

There are no specific accessibility impacts associated with this report.

TERM OF COUNCIL PRIORITIES

The implementation of Light Rail is part of the 2014-2018 Term of Council Priorities.

SUPPORTING DOCUMENTATION

Document 1: Draft RMCO Work Plan

DISPOSITION

The Light Rail Regulatory Monitor and Compliance Officer will proceed according to Council direction.