

2019-2021 INTERNAL AUDIT PLAN

Finance and Audit Committee | 13 NOV 2018



OTTAWA POLICE SERVICE
SERVICE DE POLICE D'OTTAWA

A Trusted Partner in Community Safety
Un partenaire fiable de la sécurité communautaire

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PLANNING APPROACH



Audit
Universe

Risk
Assessment

Audit
Ranking

Priority
Areas

Develop audit coverage based on key risk areas:

- Processes
- Programs
- Activities,
- Functions
- Compliance

(60 areas identified)

IIA Value Assessment Guidelines
(30 areas identified)

Seek Senior Management input on priority areas
(12 priorities)

Review priority areas against constraints and capacity
(6 areas prioritized)

PRIORITY AREAS



Area
Coach Officer Program: Operational Audit
Respectful Workplace: Compliance Audit
Missing Persons: Compliance Audit
Standard Field Sobriety Test / Drug Recognition: Operational Audit
Quarter Master / Equipment: Operational Audit
Sworn Promotions: Operational & Compliance Audit
Property and Evidence Control (X3): Compliance Audit

Areas of Consideration:

- Risks are appropriately identified and managed.
- Financial, managerial, and operational information is accurate, reliable, and timely.
- Compliance with applicable laws and regulations, standards, policies, and procedures.
- Resources used effectively and adequately protected.
- Programs, plans and objectives are achieved.
- Quality and continuous improvement opportunities.



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